Specification of Competency Standards
for the Information & Communications Technology Industry
Unit of Competency

Functional Area - Information Security

Title	Prepare and deliver information system security audit report
Code	111177L5
Range	Report findings after information system security audit work to management via full documentation and management summary in an industry standard format. Make suggestions when necessary to enhance the information system security
Level	5
Credit	6 （For Reference Only）

Competency	Performance Requirements
	1. Understand the information system audit work performed with its findings and conclusions
	
	• Be able to identify the key issues in information system security audit work performed that complies with information security policy and standards
	• Understand the needs and the considerations involved for the different activities conducted through the information system security audit exercise
	
	2. Prepare an information system security audit report
	
	• Be able to provide a report that
	    o states the scope, objectives, nature and period of coverage, timing and extent of the audit work performed
	    o states the findings, conclusions and recommendations and any reservations, qualifications or limitations
	    o When possible, benchmark the findings with industry standards
	    o supports the reported results with sufficient and appropriate audit evidence
	
	3. Deliver the information system security audit report
	
	• Be able to issue and distribute the report according to the terms of the audit charter
	
	4. Provide the information system security audit report identifying major security exceptions and ensure appropriate management follow up actions taken
	
	• Be able to
	    o report and alert management if the organisation's baseline information security governance has been breached
	    o ensure appropriate follow up actions has been taken
	    o suggest improvement in future information system security audit work

Assessment Criteria	The integrated outcome requirements of this UoC are the abilities to : • prepare and deliver the information system security audit report in compliance with information security governance and up-to-date industry standards. • make suggestions on changes to the system to address the issues and to further enhance the information system security
Remark	