

Title	Execute investment transactions in accordance with pre-defined strategies
Code	106883L5
Range	Implementation of investment strategies covering different kinds of private banking products and services, such as fixed income products, equities, foreign exchange, structure products, commodities, alternative investments, etc.
Level	5
Credit	4 (for reference only)
Competency	<p>Performance Requirements</p> <ol style="list-style-type: none"> 1. Evaluate different investment options <p>Be able to:</p> <ul style="list-style-type: none"> • Demonstrate professional knowledge in investment in order to determine the right timing to execute investment transactions • Evaluate the investment strategies of client's portfolio in order to identify the right opportunity 2. Identify timing for buying / selling <p>Be able to:</p> <ul style="list-style-type: none"> • Monitor the prices of selected investment products and identify timing for transactions • Decide the details of the trade (e.g. timing, amount) and provide instructions to relevant parties • Monitor the changes in market conditions and decide whether the pre-defined investment decision should be revised • Review suitability of trade activities on an ongoing basis to ensure meeting with clients profile and investment objectives 3. Maintain professionalism <p>Be able to:</p> <ul style="list-style-type: none"> • Ensure the transactions are in accordance with relevant regulatory requirements in different regions and internal policies of the bank • Keep clients informed of the transaction status, communicate with them in a clear and simple manner to ensure they have an accurate understanding
Assessment Criteria	<p>The integral outcome requirements of this UoC are:</p> <ul style="list-style-type: none"> • Implementation of trading transaction which can fulfill the pre-defined strategies agreed with clients. This should be based on analysis on the price movement of the selected investment products, development in the market conditions and client's investment strategies, etc.
Remark	