Specification of Competency Standards for the Private Banking

Human Resources, Finance and Administration > Financial Control

Code 106742L5 Range Providing different kinds of financial report for different business and functional areas to fulfill the regulatory requirements and internal management requirements that govern banking business and with management activities Level 5 Credit 4 (for reference only) Competency Performance Requirements 1. Have specialized knowledge in disclosure requirement Be able to: • Evaluate requirements of financial disclosure in various ordinances related to banking practice 2. Formulate policies and procedures in regulatory and internal reporting Be able to: • Establish procedures and systems to ensure that adequate control on regulatory and internal reporting • Establish relevant accounting procedures and format in statistical or financial reports • Establish relevant accounting procedures and fair view to various stakeholders • Oversee documents and reports (e.g. budgeting, forecast, statutory reporting of financial or company information) to show a true and fair view to various channels (e.g. press release) Assessment Criteria Criteria • Provision of policies and/or standards of financial and perations reports to ensure that adequate information are disclosed • Coordinate for dissemination of information through various channels (e.g. press release) Assessment The integral outcome requirements of this UoC are:	Title	Liondle regulatory reporting and management reporting
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