

Risk Management and Audit > Audit	
Title	Perform business risk management or audit review planning and formulate implementation programmes
Code	106718L5
Range	Developing business risk management or audit programmes. This applies to compliance programmes carried out in different business trades, internal operations and service delivery channels of the bank.
Level	5
Credit	4 (for reference only)
Competency	<p>Performance Requirements</p> <p>1. Design measures to support the business risk management or audit programme</p> <p>Be able to:</p> <ul style="list-style-type: none"> • Investigate with representatives from different business and operation units to ensure that compliance practices and recommendations introduced are feasible and compatible with the bank's operations • Develop tools or infrastructure which are necessary to support implementation of compliance functions as stated in formulated approaches • Provide consultancy and support to other business and operation units on control or audit related matters • Design relevant training courses to develop staff in technical aspect of compliance matters <p>2. Design business risk management or audit review programme</p> <p>Be able to:</p> <ul style="list-style-type: none"> • Ensure that the business risk management or audit review programmes are complied with regulatory requirements • Develop procedures of business risk management or audit review program and standards after analyzing business risk management or audit policies and operations of respective units
Assessment Criteria	<p>The integral outcome requirements of this UoC are:</p> <ul style="list-style-type: none"> • Provision of business risk management or audit programme for minimizing potential risks or losses for the bank on regular basis. The design of the programme is based on analysis on relevant policies and daily operations of relevant units. • Development of supporting measures to provide relevant information and support to other business and operation units of the bank after analyzing specific needs of different groups of employees.
Remark	