

Risk Management and Audit > Risk Monitoring and Reporting

Title	Investigate causes of risk breakout
Code	106691L5
Range	Conducting investigation by utilizing different methods in order to find out the causes of risk breakout. This applies to different types of risks and business processes of the bank.
Level	5
Credit	4 (for reference only)
Competency	<p>Performance Requirements</p> <ol style="list-style-type: none"> 1. Evaluate different approaches in risk investigation <p>Be able to:</p> <ul style="list-style-type: none"> • Demonstrate comprehensive and specialized knowledge in risk management in order to understand the causes and impacts of the risks breakout • Evaluate different investigation methods in order to select the most suitable approach for different incidents 2. Evaluate the development of risk breakout <p>Be able to:</p> <ul style="list-style-type: none"> • Review the process of risk break out and reorganize the course of development in order to identify possible deviations or violations • Employ different investigation methods or channels to obtain evidence and information related to the incidents • Identify people who are related to, involved in or affected by the incidents and conduct investigation with them • Comply with regulatory requirements during the course of investigation 3. Analyze data collected <p>Be able to:</p> <ul style="list-style-type: none"> • Construct different possible scenarios for the incidents and verify the assumptions by analyzing relevant information
Assessment Criteria	<p>The integral outcome requirements of this UoC are:</p> <ul style="list-style-type: none"> • Identification of the causes of risk breakout. These should be based on thorough evaluation of the situations and analysis on data collected from different sources.
Remark	