

Solutions and Service Delivery > Provision of Trust & Estate Planning Services

Title	Manage the investment of trusts
Code	106612L5
Range	Managing the investment portfolio of the trust according to pre-determined agreements / instructions with private banking clients. This applies to investment across different kinds of assets classes and both discretionary and advisory mandates.
Level	5
Credit	4 (for reference only)
Competency	<p>Performance Requirements</p> <ol style="list-style-type: none"> <li>1. Demonstrate professional knowledge in investment                             <p>Be able to:</p> <ul style="list-style-type: none"> <li>• Demonstrate professional knowledge in different financial instruments in order to monitor investment opportunities</li> <li>• Evaluate the investment objectives (e.g. prudent investment style, preservation of assets) and structures of the trust (e.g. amount of income) in order to ensure decision made are in accordance with the objectives</li> </ul> </li> <li>2. Implement investment objectives                             <p>Be able to:</p> <ul style="list-style-type: none"> <li>• Consider investment styles, investment options and make investment decisions (e.g. acquisition of financial and non-financial assets, appointment of investment managers)</li> <li>• Coordinate with relevant parties (e.g. brokers and fund managers) in executing and managing the investment process</li> <li>• Monitor investment activities and performance to ensure the portfolios are managed within client investment objectives</li> <li>• Evaluate portfolio performance regularly with investment advisors/portfolio managers, review whether client performance targets are met for the trust and rebalance the portfolio when necessary with investment advisor</li> <li>• Conduct client meetings or portfolio review to update client's situations or expectations and report account activities to clients</li> </ul> </li> <li>3. Demonstrate professional attitude                             <p>Be able to:</p> <ul style="list-style-type: none"> <li>• Ensure the transactions conducted are in compliance with applicable regulatory requirements</li> <li>• Ensure clear communication with clients regarding the transaction on a need basis</li> </ul> </li> </ol>
Assessment Criteria	<p>The integral outcome requirements of this UoC are:</p> <ul style="list-style-type: none"> <li>• Management of investment activities to ensure meeting of investment targets. The investment decisions made should be based on analysis on the investment targets, interests of trust's beneficiaries and research on different investment alternatives, etc.</li> </ul>
Remark	