

Specification of Competency Standards
for the Banking Industry
Unit of Competency

Functional Area - 5. Internal Control and Compliance
(Key Function – 5.4 Legal Advice)

Title	Present information to courts and other hearings
Code	109346L4
Range	Presenting information on behalf of the bank to different types of hearings. This applies to court testimony required by the bank as a plaintiff, defendant or witness.
Level	4
Credit	3 (For Reference Only)
Competency	<p>Performance Requirements</p> <p>1. Knowledge in the Subject Area</p> <p>Be able to:</p> <ul style="list-style-type: none"> • Demonstrate professional legal knowledge, especially those related to court proceeding in order to represent the bank during court hearings; • Understand the operational requirements of court proceeding and based on that to evaluate background information of the hearings in order to understand the objectives and stances of the bank. <p>2. Applications</p> <p>Be able to:</p> <ul style="list-style-type: none"> • Liaise with different parties to prepare for required information and documentation; • Familiarize with the information to be presented in the hearing in order to maintain a professional manner; • Respond to questions in an appropriate manner and ensure accuracy of the information presented; • Assess the proceeding and engage and coordinate with external counsel where appropriate. <p>3. Professional Behaviour and Attitude</p> <p>Be able to:</p> <ul style="list-style-type: none"> • Demonstrate proficient communication skills in order to present the information clearly and professionally; • Project a professional image during the courts by observing the protocol in courts and ensure the appearance and behaviours can conform to acceptable standards in courts.
Assessment Criteria	<p>The integral outcome requirements of this UoC are:</p> <ul style="list-style-type: none"> • Presenting testimony during court hearings in a professional manner and ensure information provided is accurate.
Remark	