



Consultation Session on Good Practices on Credit Accumulation and Transfer under the Qualifications Framework

Sharing on Good Practices on CAT: CUHK

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Outline



1. CUHK Programme and HKQF
2. CAT: Institutional Level Arrangement
3. CAT Policy and Principles: CUHK Practices



1. CUHK Programme and HKQF

Qualifications Framework (QF)



- CUHK offers a wide range of programmes using the QF award titles
- By the eight faculties and the School of Continuing and Professional Studies (CUSCS)
- Most programmes have been uploaded on QR



Ug Programmes on QR



- 79 Ug programmes of CUHK are currently registered on QR at QF level 5 as of 6 June 2022.

Search Result / Shortlisted Results

* Indicates name has been changed

No. of Operator(s): 1

No. of Granting Body(ies): 1

No. of Search Results: 79

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CPD Continuing Professional Development Programmes	LP Learning Programmes	RPL Recognition of Prior Learning Qualifications	CAT(I) Credit Accumulation and Transfer (Institutional)	✓ Current
SCS Specification of Competency Standards-Based Courses	NLP Non-Local Programmes	PQ Professional Qualifications	CAT(P) Credit Accumulation and Transfer (Programme)	✗ Archived
SGC Specification of Generic (Foundation) Competencies-Based Courses	VQP Vocational Qualifications Pathway Courses		CAT(R) Credit Accumulation and Transfer (Recognition of Prior Learning Qualifications)	○ Prospective

☐	Title of Qualification	QF Level	QF Credit	Name of Operator / Agency	Types	CAT	Status	From	To
<input type="checkbox"/>	BACHELOR OF ARTS 文學士	5	+	THE CHINESE UNIVERSITY OF HK (UNDERGRADUATE PROG)	LP	CAT(I)	✓	01/08/2012	On-going
<input type="checkbox"/>	BACHELOR OF ARTS 文學士	5		THE CHINESE UNIVERSITY OF HK (UNDERGRADUATE PROG)	LP	CAT(I)	✓	01/08/2019	On-going
<input type="checkbox"/>	BACHELOR OF ARTS 文學士	5	+	THE CHINESE UNIVERSITY OF HK (UNDERGRADUATE PROG)	LP	CAT(I)	✓	01/08/2012	On-going
<input type="checkbox"/>	BACHELOR OF ARTS 文學士	5	+	THE CHINESE UNIVERSITY OF HK (UNDERGRADUATE PROG)	LP	CAT(I)	✓	01/08/2012	On-going
<input type="checkbox"/>	BACHELOR OF ARTS 文學士	5	+	THE CHINESE UNIVERSITY OF HK (UNDERGRADUATE PROG)	LP	CAT(I)	✓	01/08/2012	On-going

Pg Programmes on QR



- 261 Doctor/ Master and 6 PD/ PC programmes of CUHK are currently registered on QR at QF level 6 or 7 as of 6 June 2022.

Search Result / Shortlisted Results

* Indicates name has been changed

No. of Operator(s): 1

No. of Granting Body(ies): 1

No. of Search Results: 267

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CPD Continuing Professional Development Programmes	LP Learning Programmes	RPL Recognition of Prior Learning Qualifications	CAT(I) Credit Accumulation and Transfer (Institutional)	✓ Current
SCS Specification of Competency Standards-Based Courses	NLP Non-Local Programmes	PQ Professional Qualifications	CAT(P) Credit Accumulation and Transfer (Programme)	✗ Archived
SGC Specification of Generic (Foundation) Competencies-Based Courses	VQP Vocational Qualifications Pathway Courses	CAT(R) Credit Accumulation and Transfer (Recognition of Prior Learning Qualifications)		○ Prospective

<input type="checkbox"/>	Title of Qualification	QF Level	QF Credit	Name of Operator / Agency	Types	CAT	Status	From	To
<input type="checkbox"/>	Doctor of Business Administration (Chinese) 工商管理博士(中文)	7		Graduate School, The Chinese University of Hong Kong	LP	CAT(I)	○	01/08/2022	On-going
<input type="checkbox"/>	Doctor of Education 教育博士	7		Graduate School, The Chinese University of Hong Kong	LP	CAT(I)	✓	01/01/2009	On-going
<input type="checkbox"/>	Doctor of Music 音樂博士	7		Graduate School, The Chinese University of Hong Kong	LP	CAT(I)	✓	01/01/2010	On-going
<input type="checkbox"/>	Doctor of Nursing 護理博士	7		Graduate School, The Chinese University of Hong Kong	LP	CAT(I)	✓	01/01/2009	On-going
<input type="checkbox"/>	Doctor of Philosophy 哲學博士	7		Graduate School, The Chinese University of Hong Kong	LP	CAT(I)	✓	01/08/2012	On-going
<input type="checkbox"/>	Doctor of Philosophy 哲學博士	7		Graduate School, The Chinese University of Hong Kong	LP	CAT(I)	✓	01/08/2014	On-going
<input type="checkbox"/>	Doctor of Philosophy 哲學博士	7		Graduate School, The Chinese University of Hong Kong	LP	CAT(I)	✓	01/08/2013	On-going

SPCEPs on QR



- 232 SPCEPs of CUHK are currently registered on QR at QF levels 1-6 as of 6 June 2022.

Search Result / Shortlisted Results

* Indicates name has been changed

No. of Operator(s): 2

No. of Granting Body(ies): 1

No. of Search Results: 232

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Add to Shortlist	CPD Continuing Professional Development Programmes	LP Learning Programmes	RPL Recognition of Prior Learning Qualifications	CAT(I) Credit Accumulation and Transfer (Institutional)	✓ Current
Add All to Shortlist	SCS Specification of Competency Standards-Based Courses	NLP Non-Local Programmes	PQ Professional Qualifications	CAT(P) Credit Accumulation and Transfer (Programme)	✗ Archived
	SGC Specification of Generic (Foundation) Competences-Based Courses	VQP Vocational Qualifications Pathway Courses		CAT(R) Credit Accumulation and Transfer (Recognition of Prior Learning Qualifications)	○ Prospective

<input type="checkbox"/>	Title of Qualification	QF Level	QF Credit	Name of Operator / Agency	Types	CAT	Status	From	To
<input type="checkbox"/>	Advanced Certificate in Career and Life Development Services for Non-engaged Youth 待學待業青年生涯發展服務高等證書	5	29	Sub-degree, Professional and Continuing Education Programmes Office, The Chinese University of Hong Kong	LP	CAT(I)	✓	23/03/2021	On-going
<input type="checkbox"/>	Advanced Certificate in Social Work with Children 兒童社會工作高等證書	5	29	Sub-degree, Professional and Continuing Education Programmes Office, The Chinese University of Hong Kong	LP	CAT(I)	✓	04/12/2019	On-going
<input type="checkbox"/>	Advanced Diploma in Chinese as a Foreign/ Second Language (Cantonese) 對外漢語(粵語)高等文憑	4	227	Sub-degree, Professional and Continuing Education Programmes Office, The Chinese University of Hong Kong	LP	CAT(I)	✓	04/01/2018	On-going
<input type="checkbox"/>	Advanced Diploma in Chinese as a Foreign/ Second Language (Putonghua) 對外漢語(普通話)高等文憑	4	227	Sub-degree, Professional and Continuing Education Programmes Office, The Chinese University of Hong Kong	LP	CAT(I)	✓	04/01/2018	On-going

No. of Programmes on QR (Summary)



QF Level	No. of Programmes on QR				
	Pg			Ug	SPCEPs
	Doctor	Master	PD/PC		
Level 7	75				
Level 6	2*	184	6		15
Level 5				79	7
Level 4					64
Level 3					87
Level 2					57
Level 1					2
Total	77	184	6	79	232

Grand Total: 578

* 1. Juris Doctor, and 2. JD/MBA Double Degree Programme

QA of CUHK Programmes



資歷名銜計劃



資歷架構
Qualifications
Framework

進修人士可透過資歷名銜計劃了解課程所屬的資歷級別

資歷級別	各級別可選用的資歷名銜					
7	Doctor 博士					
6	Master 碩士	Postgraduate Diploma 深造文憑 Postgraduate Certificate 深造證書	Professional Diploma 專業文憑 Professional Certificate 專業證書	Advanced Diploma 高等文憑 Advanced Certificate 高等證書	Diploma 文憑	Certificate 證書
5	Bachelor 學士					
4	Associate 副學士	Higher Diploma 高級文憑 Higher Certificate 高級證書				
3						
2						
1						Foundation Certificate 基礎證書

註：「文憑」課程須最少有60個學分，「證書」課程不設最低學分要求

Degree Programme
(via department)
→ Faculty
→ Senate

SPCEP Programme
(via department)
→ Faculty/CUSCS
→ Senate Committee on SPCEP
→ Senate



2. CAT: Institutional Level Arrangement



- CAT at different levels are readily available at CUHK (or at institutional level) - CAT(I)
 - CAT(I): Postgraduate
 - CAT(I): Undergraduate
 - CAT(I): SPCEP

CAT(I): Postgraduate



Horizontal/ Cross-sector transfer

- Courses completed in Pg degree or equivalent programmes
- Courses completed in exchange programmes

Vertical transfer

- Ug level courses can be considered
- Cap: 15%

- CAT(I) for Postgraduate (Pg) programmes:
<https://www.gs.cuhk.edu.hk/download/VI-C.pdf>

CAT(I): Ug



Horizontal transfer

- Courses completed in other Ug programmes
- Courses completed in exchange programmes

Vertical transfer

- Courses taken in Sub-degree programmes
- Students with advanced standing

Cross-sector transfer

- Passes in papers of professional bodies

- CAT(I) for Undergraduate (Ug) programmes:
<http://www.res.cuhk.edu.hk/en-gb/cusis/transfer-credit-exemption/information-on-course-and-unit-exemptions-for-undergraduate-students>

CAT(I): SPCEP



Horizontal transfer

- Recognize courses completed in programmes offered by CUHK and other institutions
- Level 6 courses can be used to fulfill requirements of Master programme

Vertical transfer

- Courses completed in programmes at lower levels can be used to fulfill programme requirement
- Cap: 50% of total learning hours

- CAT(I) for Sub-degree, Professional and Continuing Education Programmes (SPCEP):

<https://www.spcep.cuhk.edu.hk/hkqf/>

CAT(I): General Principles



- As an active CAT receiving or sending organization
- Transparent
- Applicable to all learners/ institutions
- Case-by-case or programme level arrangement
- Recommended by programme and approval by higher level committee/ board is needed
- Separate process of admission & credit transfer/ exemption
- A cap for credit transfer/ exemption
- Continuous improvement to facilitate implementation
- Quality assurance for quality enhancement



3. CAT Policy and Principles: CUHK Practices

Policy & Principles underpinning



CAT 8 principles from EDB's Paper¹

- P1:** Promote learner mobility across sectors, levels, and minimize duplication of learning
- P2:** Systems & procedures should be transparent, fair, flexible & easy to use
- P3:** Decisions should be timely, academically defensible, equitable & based on learning outcomes
- P4:** Recognition of non-formal & informal learning
- P5:** Programme's autonomy to make admission decisions
- P6:** Credit recognition does not undermine academic rigour or integrity of the qualification
- P7:** Institutional commitment and cooperation
- P8:** CAT systems and procedures subject to rigorous QA measures

CAT(I): General Principles



- As an active CAT receiving or sending organization P1 P7
- Transparent P2 P3
- Applicable to all learners/ institutions P2 P3
- Case-by-case or programme level arrangement P2 P4 P5
- Recommended by programme and approval by higher level committee/ board is needed P4 P5 P6
- Separate process of admission & credit transfer/ exemption P5 P6
- A cap for credit transfer/ exemption P5 P6
- Continuous improvement to facilitate implementation P7 P8
- Quality assurance for quality enhancement P7 P8

Embrace all the 8 principles underpinning CAT

Ug Programmes Receiving HD/AD

- ~ 16,000 Ug students
- ~ 1,000 SY entrants
- ~ 80 Ug programmes
- Most programmes admit SY students
- Some programmes tailor-made for SY entrants
- Examples of programmes with larger SY intakes
 - Exercise Sciences & Health Education, Natural Sciences, Nursing, Gender Studies, Bimodal Bilingual Studies...

Requirements for SY Entrants (2022-)

- CUHK's effort to promote learner mobility across levels & minimize duplication of learning

Core Requirement	FYFD (4-year curriculum)	AD/HD (senior-year)
Chinese	5 units	0 unit
English	8 units	HD: 5 units AD: 2 units
General Education	21 units	9-10 units
Information Technology	3 unit	0 unit
Physical Education	2 units	1 unit
Total	39 units	HD: 15-16 units AD: 12-13 units

Major Requirement	51-72 units	~54 units
Total Requirement	At least 123 units	At least 69 units



- Senate Committee on SPCEP was established in 2017
- CUHK's commitment
 - to promote lifelong learning
 - to support the implementation of ATS and CAT
 - to strengthen its QA for SPCEP



SPCEP Programmes
(via departments)
→ Faculty/CUSCS
→ **Senate Committee on SPCEP**
→ Senate

QF/QR Considerations in the Approval of SPCEP



- Programme should be placed on QR
 - need strong justifications otherwise
- Pitch at the appropriate QF level with reference to the Generic Level Descriptors
- QF level of each course clearly defined
- No. of QF credits clearly defined
- Encourage and monitor programme level CAT (CAT(P))

Qualifications Framework (QF)/Qualifications Register (QR)
The Senate Committee on SPCEP strongly encourages all programmes to be placed on the QR.

5. i. This programme proposes to be placed at the following QF Level on the QR:
 Yes. Proposed QF Level _____ on the QR.
or
 No. Please provide strong reason(s)/justification(s) together with available documents as endorsed by the Faculty Board/Academic Board of CUSCS. Please go to item 6.

ii. Justification for Proposed QF Level in terms of the following four domains (a-d) under the Generic Level Descriptors (GLDs):

- a. Knowledge and Intellectual Skills
- b. Processes
- c. Autonomy and Accountability
- d. Communication, ICT and Numeracy

iii. Area of Study and Training (in code and in full):
iv. Proposed QF Credits:
v. There is a Credit Accumulation and Transfer (CAT) (Institutional) (CAT(I)) policy at the institutional level. With reference to CAT(I), please indicate if there is any programme specific policy on CAT at programme level (CAT(P))?
 Yes. Please provide details in the *HKCAA/Q Checklist for New or Existing Learning Programmes with Credit Accumulation and Transfer (CAT) Arrangement on QR by Self-Accrediting Operators (CAT Arrangement Checklist)*.
 N/A.

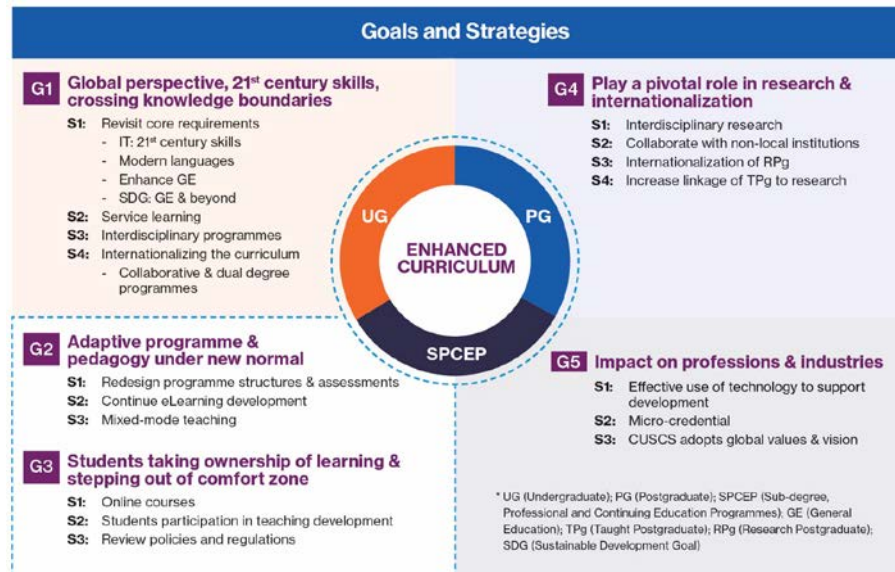
6. Proposed Month and Year of Commencement:

University Strategic Planning



- SPCEP is always an important component in the University's strategic planning

CUHK Strategic Plan (Education) (2021-2025)



- Goal 5: SPCEP as a driver of lifelong learning and a complement to degree programmes to expand CUHK's leading role in education and positive impact on the economy and society
 - Strategy 2: Enable the use of micro-credential qualifications as advanced standing for other programmes (e.g., graduate programmes)

Level 6 PCE and TPg Programme



- Course sharing of Level 6 programme and TPg programme
- Course and unit exemption of TPg programme
- Encourage and monitor development at programme development stage

Articulation Route/ Professional Recognition

17. i. Is there any articulation arrangement for graduates of this programme with CUHK taught postgraduate (TPg) programme(s)?
- Yes. Please provide the details below (A-C).
- A. Programme Title (TPg):
- B. Graduate Division (TPg):
- C. Is there any course and/or unit exemption arrangement?
- Yes, graduates of this programme are eligible to apply for *course and/or unit exemption with reference to Graduate School's Policy on Course and Unit Exemptions for Postgraduate Students. The application is subject to the approval from the _____ and the Graduate School.
- No.
- No.
- ii. Is there any formal articulation arrangement with other programme(s)?
- Yes. Please specify:
- No.
- iii. Is there any professional recognition?
- Yes. Please specify:
- No.

Example: Articulation



Arrangement

- Level 6 professional certificate programmes
 - Biostatistics
 - Epidemiology
- Articulation route: TPg programmes
 - Postgraduate Diploma in Epidemiology & Biostatistics
 - Master of Science in Epidemiology & Biostatistics
- Course and unit exemptions
 - According to CAT(I): Postgraduate
 - Subject to recommendation by programme committee and approval by Graduate School.

Summary



	P1	P2	P3	P4	P5	P6	P7	P8
CAT(I) policies (Pg, Ug, SPCEP)	✓✓	✓✓	✓✓	✓	✓✓✓	✓✓✓	✓✓	✓✓
Ug programmes receiving HD/AD with clear requirements/CAT	✓✓✓	✓✓✓	✓✓✓	✓	✓✓✓	✓✓✓	✓✓✓	✓✓
Establishment of Senate Committee on SPCEP	✓✓✓	✓✓	✓	✓	✓	✓✓	✓✓✓	✓✓✓
QF/QR considerations in the approval of SPCEP	✓✓	✓✓✓	✓✓✓	✓	✓✓	✓✓	✓✓✓	✓✓✓
Strategic planning (micro-credential qualification)	✓✓✓	✓	✓	✓✓✓	✓	✓✓	✓✓✓	✓✓

✓ relevancy



Thank You
Q & A